



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Michael Z. Gilman

Serial No.: 09/676,834

Filed: September 29, 2000

For: NEW APPLICATIONS OF GENE THERAPY TECHNOLOGY

Attorney Dkt: APBI-P04-340 (formerly APV-340.04)

Commissioner for Patents  
Washington, D.C. 20231

Attn: Customer Service Center  
Initial Patent Examination Division

**TRANSMITTAL LETTER**

Dear Sir:

Responsive to the Notice to File Missing Parts of Nonprovisional Application mailed November 20, 2000, the following is enclosed:

1. Copy of Notice to File Missing Parts of Nonprovisional Application (1 p.);
2. Response to Notice to File Missing Parts of Nonprovisional Application (1 p.);
3. Request for a Five-Month Extension of Time to June 20, 2001;
4. Copy of previously filed executed Declaration (2 pp.);
5. Copy of previously filed Small Entity Statement (1 p.);
6. Copy of previously filed Associate Power of Attorney (2 pp.);
4. Change of correspondence address and attorney docket number;
5. Postpaid Return Postcard.

The undersigned authorizes the charging of any additional fees and crediting of any overpayment associated with this communication to our Deposit Account No. 18-1945. The undersigned requests any extensions of time necessary to respond. .

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on:

June 20, 2001

Date

Respectfully submitted,

ROPES & GRAY, LLP

Matthew P. Vincent, Reg. No. 36,709  
One International Place  
Boston, MA 02110  
Tel. (617) 951-7000



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/676,834	09/29/2000	Michael Z. Gilman	APV-340.04

David L Berstein  
Ariad Pharmaceuticals Inc  
26 Landsdown Street  
Cambridge, MA 02139-4234

## FORMALITIES LETTER



\*OC00000005567584\*

Date Mailed: 11/20/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$534.
 

06/26/2001	NBERHE	00000054	181945	09676834
01 FC:201		355.00	CH	
02 FC:205		65.00	CH	
03 FC:203		72.00	CH	
04 FC:202		200.00	CH	

  - \$144 for 8 total claims over 20.
  - \$390 for 5 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 1354.
 

08/01/2001	Y6IZAW	00000002	181945	09676834
01 FC:202		40.00	CH	
02 FC:204		135.00	CH	

A copy of this notice **MUST** be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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COPY

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Copy of previously filed Small Entity Statement;

Copy of previously filed executed Declaration;

Copy of previously filed Associate Power of Attorney; and

Change of correspondence address and attorney docket number

The undersigned authorized charging the \$355 basic filing fee; the \$65 surcharge for a small entity, extra claims fees of \$447; for a total of \$867, along with the charging of any additional fees and crediting of any overpayment associated with this communication to our Deposit Account No. 18-1945. The undersigned requests any extensions of time necessary to respond. A duplicate of this page is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, DC 20231 on:

June 20, 2001

Date

Respectfully submitted,  
ROPES & GRAY, LLP

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COPY